



PATENT

Aizenberg 6-41-2-1-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Aizenberg et al.

Serial No.: 10/722,613

Filed: November 26, 2003

For: DEVICES HAVING PATTERNED
REGIONS OF POLYCRYSTALLINE
ORGANIC SEMICONDUCTORS, AND
METHODS OF MAKING THE SAME

Group: 2811

Examiner:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date set forth below:

Signed: Marianna Tortorelli

Name: Marianna Tortorelli

Date: June 14, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Durham, North Carolina
June 14, 2004

INFORMATION DISCLOSURE STATEMENT UNDER § 197(a)

Sir:

This Information Disclosure Statement is being filed before a first Official Action has been mailed in this case.

Pursuant to 37 C.F.R. 1.56, 1.97 and 1.98, applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following items listed on the accompanying Forms PTO/SB/08A and PTO/SB/08B.

ITEMS

	<u>Document No.</u>	<u>Publication Date</u>	<u>Patentee/Applicant</u>
1.	U.S. Patent No. 5,192,580	03/09/1993	Blanchet-Fincher
2.	U.S. Patent No. 5,288,528	02/22/1994	Blanchet-Fincher
3.	U.S. Patent No. 5,347,144	09/13/1994	Garnier et al.
4.	U.S. Patent No. 5,523,192	06/04/1996	Blanchet-Fincher
5.	U.S. Patent No. 5,563,019	10/08/1996	Blanchet-Fincher
6.	U.S. Patent No. 5,625,199	04/29/1997	Baumbach et al.
7.	U.S. Patent No. 5,766,819	06/16/1998	Blanchet-Fincher
8.	U.S. Patent No. 5,840,463	11/24/1998	Blanchet-Fincher
9.	U.S. Patent No. 5,981,970	11/09/1999	Dimitrakopoulos et al.
10.	U.S. Patent No. 6,051,318	04/18/2000	Kwon
11.	U.S. Patent No. 6,143,451	11/07/2000	Blanchet-Fincher
12.	U.S. Patent No. 6,146,792	11/14/2000	Blanchet-Fincher et al.
13.	U.S. Patent No. 6,174,651	01/16/2001	Thakur
14.	U.S. Patent No. 6,265,243	07/24/2001	Katz et al.
15.	U.S. Patent No. 6,352,811	03/05/2002	Patel et al.
16.	U.S. Patent No. 6,352,812	03/05/2002	Shimazu et al.
17.	U.S. Patent No. 6,403,397	06/11/2002	Katz
18.	U.S. Patent No. 6,551,717	04/22/2003	Katz et al.
19.	U.S. Publication No. 2002/0149315 A1	10/17/2002	Blanchet-Fincher
20.	U.S. Application No. 10/256,885	09/27/2002	Bao et al.
21.	U.S. Application No. 10/669,780	09/24/2003	Bao

22.	U.S. Application No. 60/505,533	09/24/2003	Meth
23.	U.S. Application No. 60/505,880	09/24/2003	Meth et al.
24.	U.S. Application No. 10/671,303	09/24/2003	Bao et al.
25.	U.S. Application No. 10/701/183	11/04/2003	Akkerman et al.
26.	PCT Publication No. WO 01/87634 A2	11/22/2001	E.I. du Pont de Nemours and Company
27.	PCT Publication No. WO 02/08801 A1	01/31/2002	E.I. du Pont de Nemours and Company
28.	PCT Publication No. WO 02/092352 A1	11/21/2002	E.I. du Pont de Nemours and Company

Other Publications

29. AFZALI ET AL., High-Performance, Solution-Processed Organic Thin Film Transistors from a Novel Pentacene Precursor, *J. Am. Chem. Soc.*, 2002, Page(s) 8812-8813, Volume 124
30. AFZALI ET AL., Synthesis and Application of Pentacene Precursor in OTFT, Publisher: IBM Research Division, Published in: Yorktown Heights, NY
31. AIZENBERG ET AL., Control of Crystal Nucleation by Patterned Self-Assembled Monolayers, *Nature*, April 8, 1999, Page(s) 495-498, Volume 398
32. AIZENBERG ET AL., Oriented Growth of Calcite Controlled by Self-Assembled Monolayers of Functionalized Alkanethiols Supported on Gold and Silver, *J. Am. Chem. Soc.*, 1999, Page(s) 4500-4509, Volume 121
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36. COLLET ET AL., High Anisotropic Conductivity in Organic Insulator/Semiconductor Monolayer Heterostructure, *Applied Physics Letters*, 3/6/2000, Page(s) 1339-1341, Volume 76, Number 10, Publisher: American Institute of Physics
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40. ECHAVARREN ET AL., *J. Am. Chem. Soc.*, 1987, Page(s) 5478-5486, Volume 109
41. FORREST, Ultrathin Organic Films Grown by Organic Molecular Beam Deposition and Related Techniques, *Chem. Rev.*, Page(s) 1793-1896, Volume 97, Publisher: American Chemical Society
42. HALIK ET AL., High-Mobility Organic Thin-Film Transistors Based on a, a'-didecyloligothiophenes, *Journal of Applied Physics*, March 1, 2003, Page(s) 2977-2981, Volume 93, Number 5
43. HAN ET AL., Effect of Magnesium Ions on Oriented Growth of Calcite on Carboxylic Acid Functionalized Self-Assembled Monolayer, *J. Am. Chem. Soc.*, 2003, Page(s) 4032-4033, Volume 125
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45. HONG ET AL., Thiophene-Phenylene and Thiophene-Thiazole Oligomeric Semiconductors with High Field-Effect Transistor On/Off Ratios, *Chem. Mater.*, 2001, Page(s) 4686-4691, Volume 13, Number 12
46. JOHNSTON ET AL., Low-Energy Vibrational Modes in Phenylene Oligomers Studied by THz Time-Domain Spectroscopy, *Chemical Physics Letters*, 2003, Page(s) 256-262, Volume 377

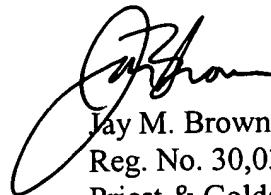
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51. MATTERS ET AL., Organic Field-Effect Transistors and All-Polymer Integrated Circuits, *Optical Materials*, 1999, Page(s) 189-197, Volume 12
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53. MUSHRUSH ET AL., Easily Processable Phenylene-Thiophene-Based Organic Field-Effect Transistors and Solution-Fabricated Nonvolatile Transistor Memory Elements, *J. Am. Chem. Soc.*, 2003, Page(s) 9414-9423, Volume 125, Number 31
54. SEO ET AL., Interpretation of the Mass Change Behavior in the Binary Monolayer of Hydroquinone-tethered Alkylthiol and Aminoalkythiol, *Bull. Korean Chem. Soc.*, 2002, Page(s) 1671-1673, Volume 23, Number 11
55. STABEL ET AL., Scanning Tunneling Microscopy of Alkylated Oligothiophenes at Interfaces with Graphite, *Synthetic Metals*, 1994, Page(s) 47-53, Volume 67
56. TANIMOTO ET AL., Binary Phase Chlorination of Aromatic Hydrocarbons with Solid Copper(II) Chloride: Reaction Mechanism, *Bull. Chem. Soc. Japan*, 1979, Page(s) 3586-3591, Volume 52, Number 12
57. XIA ET AL., Soft Lithography, *Angew. Chem. Int. Ed.*, 1998, Page(s) 550-575, Volume 37

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representation that a search has been made nor shall it be construed as an admission that the

information cited is considered to be material to patentability, nor shall it be construed that no other material information exists.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jay M. Brown".

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PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO					<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>					Application Number	10/722,613
					Filing Date	November 26, 2003
					First Named Inventor	Aizenberg et al.
					Art Unit	2811
					Examiner Name	
Sheet	1	of	5		Attorney Docket Number	100.2496

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (# known)			
	1	US-5,192,580	03/09/1993	Blanchet-Fincher	
	2	US-5,288,528	02/22/1994	Blanchet-Fincher	
	3	US-5,347,144	09/13/1994	Garnier et al.	
	4	US-5,523,192	06/04/1996	Blanchet-Fincher	
	5	US-5,563,019	10/08/1996	Blanchet-Fincher	
	6	US-5,625,199	04/29/1997	Baumbach et al.	
	7	US-5,766,819	06/16/1998	Blanchet-Fincher	
	8	US-5,840,463	11/24/1998	Blanchet-Fincher	
	9	US-5,981,970	11/09/1999	Dimitrakopoulos et al.	
	10	US-6,051,318	04/18/2000	Kwon	
	11	US-6,143,451	11/07/2000	Blanchet-Fincher	
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	13	US-6,174,651	01/16/2001	Thakur	
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	16	US-6,352,812	03/05/2002	Shimazu et al.	
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	18	US-6,551,717	04/22/2003	Katz et al.	
	19	US-2002/0149315 A1	10/17/2002	Blanchet-Fincher	
	20	US-10/256,885	09/27/2002	Bao et al.	

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(Use as many sheets as necessary)</i></p>		<p>Complete if Known</p>		
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Sheet	2	5	Attorney Docket Number	100.2496

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

3

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Application Number 10/722,613

Filing Date November 26, 2003

First Named Inventor Aizenberg et al.

Art Unit 2811

Examiner Name

Attorney Docket Number 100.2496

T²**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	29	AFZALI ET AL., High-Performance, Solution-Processed Organic Thin Film Transistors from a Novel Pentacene Precursor, J. Am. Chem. Soc., 2002, Page(s) 8812-8813, Volume 124
	30	AFZALI ET AL., Synthesis and Application of Pentacene Precursor in OTFT, Publisher: IBM Research Division, Published in: Yorktown Heights, NY
	31	AIZENBERG ET AL., Control of Crystal Nucleation by Patterned Self-Assembled Monolayers, Nature, April 8, 1999, Page(s) 495-498, Volume 398
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Sheet

4

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<i>Art Unit</i>	2811
<i>Examiner Name</i>	

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NON PATENT LITERATURE DOCUMENTS

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	41	FORREST, Ultrathin Organic Films Grown by Organic Molecular Beam Deposition and Related Techniques, Chem. Rev., Page(s) 1793-1896, Volume 97, Publisher: American Chemical Society	
	42	HALIK ET AL., High-Mobility Organic Thin-Film Transistors Based on a, a'-didecyloligothiophenes, Journal of Applied Physics, March 1, 2003, Page(s) 2977-2981, Volume 93, Number 5	
	43	HAN ET AL., Effect of Magnesium Ions on Oriented Growth of Calcite on Carboxylic Acid Functionalized Self-Assembled Monolayer, J. Am. Chem. Soc., 2003, Page(s) 4032-4033, Volume 125	
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		<i>Art Unit</i>	2811	
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